

NOTICE
Service Fees, Penalty Rates and Default Interest Rates

Bualuang Securities Public Company Limited ("**Company**") would like hereby to inform you that the details of Service Fees, Penalty Rates, and Default Interest Rates are as follow:

1. Rate of Service Fees

Types	Fees
1.1 Fee for issuing share certificate	100 Baht per securities
1.2 Fee for transferring securities out to external account of Company (No any charge of transferring securities for Corporate Action purposes such as Tender Offer, Exercise Warrant, etc.)	100 Baht per securities
1.3 Converting securities from Local to Foreign or vice versa for the register book closing purposes e.g. XR or XD	30 Baht per securities
1.4 Fee and processing time frames for historical data requests are listed below: Equity or Global Investing Historical Data request 1.4.1 Assets Outstanding Confirmation Letter or (historical data report of securities or cash, no more than 3 months) 1.4.2 Letter and Data Report same as 1.4.1, no more than 1 year. 1.4.3 Letter and Data Report same as 1.4.1, no more than 2 years 1.4.4 Letter and Data Report same as 1.4.1, exceeding 2 years Derivatives (TFEX) 1.4.5 Assets Outstanding confirmation Letter Monthly Statement or Cash Movement History Report or TFEX Confirmation which data historical condition same as 1.4.1 – 1.4.4 No any charge for the Customer who has equal/more than 10,000 iPoints balance.	Time frame Delivery within 2 business days Delivery within 7 business days Delivery within 7 business days Delivery within 10 business days 1.4.1 No any charge per account and type of data 1.4.2 200 Baht per same as 1.4.1 1.4.3 300 Baht per same as 1.4.1 1.4.4 500 Baht per same as 1.4.1 Same as 1.4.1 – 1.4.4

2. Penalty and Default Interest Rates in case of securities settlement or cash payment defaults, For settlement cycle T+2 (effective from trading date March 2, 2018) (T=Trading date)

Categories	Charges in each case shall apply both Category 1 and Category 2	
	Category 1 refers to Thailand Clearing House ("TCH") penalty charge	Category 2 refers to the Stock Exchange of Thailand ("SET") penalty charge
2.1 Late Delivery of securities 2.1.1 Delivery after 12.00 a.m. of T+1	1. No more than 1 business day: 0.50% 2. Over 1 but no more than 2 business days: 0.75% 3. Over 2 but no more than 3 business days: 1.00% 4. Over 3 but no more than 4 business days: 1.75% (The penalty rates on items 1-4 are the percentage of the value of defaulted securities.) The minimum penalty charge is 300 Baht per defaulted securities.	3,000 Baht per day and per defaulted securities



Categories	Charges in each case shall apply both Category 1 and Category 2	
	Category 1 The default interest rates	Category 2 refer to SET penalty charge
2.1.2 Delivery after 8.30 a.m. of T+2	1.Borrowing fees from the enforcement of the auto SBL transaction by TCH at 0.495% of the value of defaulted securities per day (exclude VAT) 2.Plus penalty charge in Item 2.1.1, Category 1	Same as Item 2.1.1 Category 2
2.1.3 Delivery after 8.30 a.m. of T+3	1.All expenses incurred by TCH enforcement according to the securities purchase through buy-in transaction. 2.Plus penalty charge in Item 2.1.1, Category 1	Same as Item 2.1.1, Category 2
2.2 Payment		
2.2.1 Do not settle trading payment via ATS	None	3000 Baht per each breach that exceeds 3 times in a quarter.
2.2.2 Late payment (Overdue: payment exceeding T+2)	15% per annum on default outstanding plus 50 Baht. We reserve the rights to change the default interest rates as the Company deems appropriate.	3,000 Baht per day of delay payment.

3.The penalties and/or interest rates of cash settlement default consequences related to Derivatives Trading Account. (T=Transaction date)

Types	Fees
In case of cash settlement defaults exceeding T+1. (The Company reserves the right to change the penalties or interest rates of cash settlement default as the Company deems appropriate.)	15% per annum on the default amount.

4.The penalties and/or interest rates of cash settlement default consequences related to Global Investing Trading Account.

Types	Fees
In case of cash settlement defaults exceeding the specified date of such Global Investing Trading market. (The Company reserves the right to change the penalties or interest rates of cash settlement default as the Company deems appropriate.)	15% per annum on the default amount.

The announcement will be effective from 14 March 2025 onwards. The Company reserves the right to adjust the above rates as appropriate.

Announced on 14 March 2025

Ms. Kalayanee Leksomboon
Managing Director